



Docket No.: 214011US8

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313



ATTORNEYS AT LAW

RE: Application Serial No.: 09/955,120  
Applicants: Kazuhisa KASHIHARA, et al.  
Filing Date: September 19, 2001  
For: OPTICAL WAVEGUIDE CIRCUIT DEVICE  
Group Art Unit: 2877  
Examiner: KIANNI, K.

SIR:

Attached hereto for filing are the following papers:

**PETITION UNDER 37 C.F.R. 1.181 (with attached PAIR Print-out of Serial No. 09/955,120, Copy of date-stamped filing receipt dated November 18, 2003, copy of IDS/PTO 1449)**

Our check in the amount of \$0 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Bradley D. Lytle

Registration No. 40,073

Customer Number

**22850**

(703) 413-3000 (phone)  
(703) 413-2220 (fax)

DOCKET NO: 214011US8



IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF :  
KAZUHISA KASHIHARA, ET AL. : EXAMINER: KIANNI, KAVEH  
SERIAL NO: 09/955,120 :  
FILED: SEPTEMBER 19, 2001 : GROUP ART UNIT: 2877  
FOR: OPTICAL WAVEGUIDE CIRCUIT :  
DEVICE :

PETITION UNDER 37 C.F.R. §1.181

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

In accordance with 37 C.F.R. §1.181, Applicants respectfully request the Examiner acknowledge consideration of the publications cited in the PTO-1449 form filed with the Information Disclosure Statement (IDS) on January November 18, 2003. In accordance with the PAIR system, an Information Disclosure Statement was filed on November 18, 2003, (see attached copy of PAIR system). Additionally, we enclose herewith a copy of the date-stamped filing receipt dated November 18, 2003 along with the IDS and PTO 1449.

Accordingly, it is respectfully requested this petition be granted and the consideration of the IDS be acknowledged.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Bradley D. Lytle  
Attorney of Record  
Registration No. 40,073

Customer Number  
**22850**

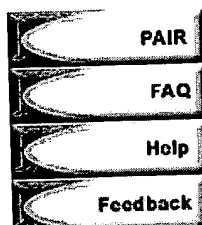
Tel: (703) 413-3000  
Fax: (703) 413 -2220



# United States Patent and Trademark Office

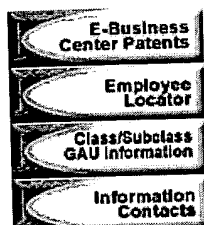
Home | Site Index | Search | Guides | Contacts | eBusiness | eBiz alerts | News | Help

## PATENT APPLICATION INFORMATION RETRIEVAL



**NEW** Printer Friendly Version

### Other Links



Search results for application number:09/955,120			
Application Number:	09/955,120	Customer Number:	22850
Filing or 371(c) Date:	09-19-2001	Status:	Notice of Allowance Mailed Application Received in Off Publications
Application Type:	Utility	Status Date:	07-06-2004
Examiner Name:	KIANNI, KAVEH C	Location:	ELECTRONIC
Group Art Unit:	2877	Location Date:	-
Confirmation Number:	8425	Earliest Publication No:	US 2002-0034361 A1
Attorney Docket Number:	214011US8	Earliest Publication Date:	03-21-2002
Class/ Sub-Class:	385/050	Patent Number:	-
First Named Inventor:	Kazuhisa Kashihara, Tokyo, (JP)	Issue Date of Patent:	-
Title Of Invention:	OPTICAL WAVEGUIDE CIRCUIT DEVICE		

Select Search Option

☒ Assignments  
☐ Display References  
☐ Image File Wrapper  
☐ Patent Term Adjustment History  
☐ Publication Review  
☐ Published Documents

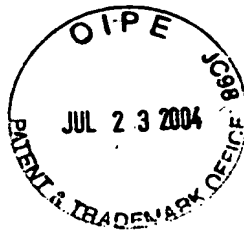
**Search**

File History	
Date	Contents Description
07-06-2004	Receipt into Pubs
05-19-2004	Workflow - File Sent to Contractor
05-06-2004	Mail Notice of Allowance
05-04-2004	Issue Revision Completed
05-03-2004	Notice of Allowance Data Verification Completed
05-03-2004	Notice of Allowability
04-26-2004	Date Forwarded to Examiner
04-16-2004	Amendment after Final Rejection
04-16-2004	Workflow incoming amendment IFW

01-16-2004	Mail Final Rejection (PTOL - 326)
01-12-2004	Final Rejection
11-18-2003	Information Disclosure Statement (IDS) Filed
10-29-2003	Date Forwarded to Examiner
10-20-2003	Response after Non-Final Action
10-20-2003	Request for Extension of Time - Granted
06-19-2003	Mail Non-Final Rejection
06-16-2003	Non-Final Rejection
01-15-2003	Case Docketed to Examiner in GAU
06-06-2002	Case Docketed to Examiner in GAU
01-02-2002	Case Docketed to Examiner in GAU
09-19-2001	Request for Foreign Priority (Priority Papers May Be Included)
11-16-2001	Information Disclosure Statement (IDS) Filed
11-28-2001	Application Dispatched from OIPE
11-27-2001	Application Is Now Complete
10-18-2001	Notice Mailed--Application Incomplete--Filing Date Assigned
10-18-2001	Correspondence Address Change
09-25-2001	IFW Scan & PACR Auto Security Review
09-19-2001	Initial Exam Team nn

---

[|.HOME|](#)[INDEX|](#)[SEARCH|](#)[eBUSINESS|](#)[CONTACT US|](#)[PRIVACY STATEMENT](#)



*LM*  
OSMM&N File No. 214011US8

Dept.: PP

By: BDL/shb

Serial No. 09/955,120

In the matter of the Application of: Kazuhisa KASHIHARA, et al.

For: OPTICAL WAVEGUIDE CIRCUIT DEVICE

**Due Date: 11/27/03**

The following has been received in the U.S. Patent Office on the date stamped hereon:

- ☒ Dep. Acct. Order Form
- ☒ Information Disclosure Statement
- ☒ Cited References (8)
- ☒ JAPANESE Office Action
- ☒ PTO-1449



Docket No. 214011US8/shb



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kazuhisa KASHIHARA, et al.

SERIAL NO: 09/955,120

GAU: 2877

FILED: September 19, 2001

EXAMINER: Kaveh C. KIANNI

FOR: OPTICAL WAVEGUIDE CIRCUIT DEVICE

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references cited in the attached Japanese Office Action listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

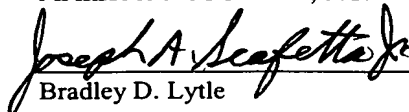
- ☒ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

  
Bradley D. Lytle

Registration No. 40,073

Joseph A. Scafetta, Jr.  
Registration No. 26,803

Customer Number

22850

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Kazuhisa KASHIHARA et al.

FILING DATE

September 19, 2001

GROUP

2877

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO	7-113923	05/02/1995	JAPAN (with English Abstract)		X
	AP	4-241304	08/28/1992	JAPAN (with English Abstract)		X
	AQ	49-41953	11/12/1974	JAPAN		X
	AR	62-105446	05/15/1987	JAPAN (with English Abstract)		X
	AS	59-152638	08/31/1984	JAPAN (with English Abstract)		X
	AT	2000-241656	09/08/2000	JAPAN (with English Abstract)		X
	AU	WO 98/13718	04/02/1998	WIPO (with English Abstract)		X
	AV	8-139339	05/31/1996	JAPAN (with English Abstract)		X

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	

☐ Additional References sheet(s) attached

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.